

Ph.D. Qualifying Exam (Functional Analysis)

1. (8 pts) Suppose N and F are linear subspaces of a normed linear space X , N is closed and F has finite dimension. Prove that

$$N + F = \{n + f : n \in N, f \in F\}$$

is closed.

2. (12 pts) Let H be a Hilbert space and $\{e_1, e_2, \dots\}$ an orthonormal family in H .
- (a) State and prove the Bessel inequality.
- (b) Show that the Parseval identity is necessary and sufficient for the completeness of the orthonormal family.

3. (8 pts) Let V be a vector space and $T : V \rightarrow V$ a linear map. Suppose there is $x \in V$ such that x, Tx, T^2x, \dots span V . Prove that if $S : V \rightarrow V$ is linear and commutes with T , then there is a polynomial $p(t)$ such that $p(T) = S$.

4. (8 pts) Let $\alpha = \{\alpha_1, \alpha_2, \dots\}$ be a sequence of complex numbers such that the series $\sum_{j=1}^{\infty} \alpha_j \beta_j$ converges for every $\{\beta_j\} \in l_q$, $1 \leq q < \infty$. Prove that $\alpha \in l_p$, where $\frac{1}{p} + \frac{1}{q} = 1$.

5. (8 pts) Show that a compact operator on a Banach space maps weakly convergent sequences into norm convergent sequences.

6. (12 pts) Let M be a closed linear subspace of a normed linear space X . Show that if $M \neq X$, then there exists $f \in X \setminus M$ such that $\|f\| = 1$ and $\|f - g\| \geq \frac{1}{2}$ for all $g \in M$. Deduce that if X is infinite dimensional, then the unit sphere of X is never compact.

7. (8 pts) Let T be a self-adjoint operator on a complex Hilbert space H . Show that for any complex number α there exists λ in the spectrum of T such that

$$\|Tx - \alpha x\| \geq |\lambda - \alpha| \|x\| \quad \text{for any } x \in H.$$

8. (12 pts) Define a bounded operator $B : L^2(\mathbf{R}) \rightarrow L^2(\mathbf{R})$ by $(Bf)(x) = (\tan^{-1} x) \cdot f(x)$. Find the spectrum of B . Is B a compact operator?

9. (16 pts)

- (a) Let X be a complex Banach space. Show that for any $x \in X$ there exists a continuous linear functional $\Lambda : X \rightarrow \mathbf{C}$ such that

$$\Lambda x = \|x\| \quad \text{and} \quad \|\Lambda\| = 1. \tag{1}$$

- (b) Show that if X is a Hilbert space there is only one linear functional for each non-zero $x \in X$ which satisfies (1).

- (c) Find a non-zero element x of the Banach space $C[0, 1]$ of complex-valued continuous functions on $[0, 1]$ with sup-norm, and give infinitely many linear functionals Λ satisfying (1).

10. (8 pts) If $x^2 = x$, $y^2 = y$ and $xy = yx$ for some x and y in a Banach algebra, prove that either $x = y$ or $\|x - y\| \geq 1$.